| FORM PTO-139 (REV 1-2003) | U.S. DEPAR | IMENT OF COMMERCE PATENT AND | TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER | | | | | | | |
|---|---|---|------------------|---------------------------------------|--|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES | | KAS.066 | | | | | | | | | |
| | DESIGNATED/ELEC | U.S. APPLICATION NO. (If known. see 37 CFR 1.5) | | | | | | | | | |
| C | ONCERNING A FII | +U/52U531 | | | | | | | | | |
| INTERNA PCT/JP200 | FIONAL APPLICATION N 3/005911 | O. INTERNATIONAL FI 12 MAY 2003 | LING DATE | PRIORITY DATE CLAIMED 18 JULY 2002 | | | | | | | |
| | TITLE OF INVENTION | | | | | | | | | | |
| TOOL HOLDER OF MACHINE TOOL APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | |
| Shinsuke SUGATA et al. | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. X This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include | | | | | | | | | | | |
| items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| | | | | | | | | | | | |
| 5. X | | | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| Ì | b. X has been communicated by the International Bureau. | | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | | | | | |
| | a. X is attached hereto. | | | | | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 7. X | | of the International Applicati | | | | | | | | | |
| a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| b. have been communicated by the International Bureau. | | | | | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| d. X have not been made and will not be made. | | | | | | | | | | | |
| 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | |
| | | ne inventor(s) (35 U.S.C. 371 | | n | | | | | | | |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | | |
| 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | | | |
| 12. X | | | | | | | | | | | |
| 13. X | | | | | | | | | | | |
| 14. An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. X A substitute specification. | | | | | | | | | | | |
| 16. A power of attorney and/or change of address letter. | | | | | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. | | | | | | | | | | | |
| 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 20. X Other items or information: Form PCT/IB/308; eleven sheets of drawing | | | | | | | | | | | |
| | | | | | | | | | | | |

| U.S. APPLICATION NO (inknown to | ATTORNEY'S DOCKET NUMBER KAS.066 | | | | | | | | | | |
|--|----------------------------------|----------------------|------------------------|----------|----|---------|---|--|--|--|--|
| 21. X The following | CALC | CULATIONS | PTO USE ONLY | | | | | | | | |
| BASIC NATIONAL FI | | | | | | | | | | | |
| Neither international pre nor international search and International Search | | | | | | | | | | | |
| International preliminary USPTO but International | | | | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO | | | | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$750.00 | | | | | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | | | | | | | | | | |
| ENTER A | | | \$ 1000.00 | | | | | | | | |
| Surcharge of \$130.00 for from the earliest claimed | | | \$0.00 | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | R.A | \TE | \$ | | | | | | |
| Total claims | 8 - 20 = | 0 | X | \$50.00 | | \$ 0.00 | | | | | |
| Independent claims | 2 - 3 = | 0 | X 5 | \$200.00 | | \$ 0.00 | | | | | |
| MULTIPLE DEPENDEN | | | | \$360.00 | | \$ 0.00 | | | | | |
| : | NS = | | \$1000.00 | | | | | | | | |
| Applicant claim above are reduc | | See 37 CFR 1.27. The | fees ind | icated | | \$ 0.00 | : | | | | |
| above are reduc | eu bv 72. | SU | втот | `AL = | 9 | 1000.00 | | | | | |
| Processing fee of \$130.00 months from the earliest of | | \$ 0.00 | | | | | | | | | |
| | | \$1000.00 | | | | | | | | | |
| Fee for recording the encaccompanied by an appro | | \$ 40.00 | | | | | | | | | |
| | ED = | | \$1040.00 | | | | | | | | |
| | | | int to be: refunded | \$ | | | | | | | |
| | - | <u> </u> | charged | \$ | | | | | | | |
| a. A check in the amount of \$ 1040.00 to cover the above fees is enclosed. | | | | | | | | | | | |
| b. Please charge my Deposit Account No. 50-0562 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0562 . A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | |
| John P. Shannon | | | | | | | | | | | |
| Customer No. 48234 | hn P. Sha | | ADED 20.27/ | | | | | | | | |
| MEREK, BLACKMO 673 South Washington | UISTKATI | יוטאו אוט | MBER 29,276 | | | | | | | | |
| Alexandria, VA 22314 | | | | | | | | | | | |
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The PTO did not receive the following listed item(s) NO POST CASA

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